

Team Risk Registers - Summary of Movement from October 2023 to January 2024

Business Development

The overall number of risks on the Business Development Risk register have reduced from 20 to 18

- Previous Risk Number 11 - Reputational damage caused by complaints from MADE barbering students, has been removed from the Risk Register.
- Previous Risk Number 13 - SDS does not pay GKC full amount due for FA leavers, has been removed from the Risk Register.
- Risk Number 1 – People Resource – the Inherent Risk has reduced from 12 (High) to 6 (Medium) the changes were made in the Likelihood and Impact both of which reduced by 1. The Residual Risk has reduced from 6 (Medium) to 4 (Medium), the change was made in the Impact which reduced by 1. Controls and Mitigating Actions has been updated to: 1. Specialist programmes are being reduced and so there are not so many that require specific knowledge. Cross training of staff shares knowledge and reduces dependency on specific individuals.
- Risk Number 2 – Funding Obligations – the Inherent Risk has reduced from 12 (High) to 6 (Medium) the changes were made in the Likelihood and Impact both of which reduced by 1. The Residual Risk has reduced from 8 (High) to 4 (Medium), the change was made in the Impact which reduced by 2. Under Controls and Mitigating Actions the following has been removed: 5. ESF audits almost completed with no clawback identified
- Risk Number 4 – Miss Funding Targets – the Inherent Risk has increased from 6 (Medium) to 16 (Very High), the changes were made in the Likelihood which increased by 2 and the Impact which increased by 1. The Residual Risk has increased from 4 (Medium) to 12 (High), the changes were made in the Likelihood which increased by 2 and the Impact which increased by 1. Controls and Mitigating Actions have been completely changed to read: '1. Redeploy staff, 2. Revise income targets, 3. Source new commercial opportunities.
- Risk Number 6 – GDPR – the Inherent Risk has decreased from 16 (Very High) to 12 (High), the change was made in the Likelihood which reduced by 1.
- Risk Number 8 – Student Experience – the Inherent Risk has reduced from 12 (High) to 6 (Medium) the changes were made in the Likelihood and Impact both of which reduced by 1. The Early Warning Mechanisms, Planned Next Steps & Future Action Required have been completely updated to : Early Warning Mechanism - 1. Poor retention and high level of complaints. Actions Planned - 1. Continue NE/EDC dialogue, 2. New school engagement plan, 3. Revised SP programme.
- Risk Number 9 - Health and Safety in Schools Programmes, the Inherent Risk has reduced from 15 (Very High) to 6 (Medium). The changes were made in the Likelihood which reduced by 1 and the Impact which reduced by 2. Controls and Mitigating Actions have been completely changed to read: 1. No taxis are used, 2. Train/bus or foot transport, 3. Primarily local pupils on programmes, 4. Regular meetings now underway with local authorities and schools
- Risk Number 10 - Unable to Access Accommodation, the Inherent Risk has reduced from 12 (High) to 4 (Medium). The changes were made in the Likelihood which reduced by 2 and the Impact which reduced by 1. The Residual Risk has reduced from 6 (Medium) to 2 (Low), the change was made in the Likelihood and the Impact both of which reduced by 1. Controls and Mitigating Actions have been completely changed to read: The Early Warning Mechanisms, Planned Next Steps & Future Action Required have been completely updated to : 1. Dedicated commercial room available. 'Early Warning Mechanism 1. Unable to accept course bookings, Actions Planned 1. Continue with using dedicated room and working closely with timetabling.
- Risk Number 11 - FA transport budget is exceeded by local authority costs, Inherent Risk has decreased from 9 (High) to 4 (Medium) the changes were made in the Likelihood and the Impact which both of which reduced by 1. Residual Risk has reduced from 6 (Medium) to 2 (Low) the changes were made in the Likelihood and the Impact which both of which reduced by 1.
- Risk Number 12 - FWDF reduces or disappears for 23/24, Residual Risk has increased from 9 (High) to 16 (Very High), the changes were made in the Likelihood and the Impact both of which increased by 1.
- Risk Number 13 - Senior Phase and FA programmes are unviable, Inherent Risk has reduced from 16 (Very High) to 9 (High), the changes were made in the Likelihood and Impact both of which reduced by 1. The Residual Risk has reduced from 9 (High) to 6 (Medium), the change was made in the Impact which reduced by 1.
- Risk Number 14 - Capacity in Marketing Team, The Inherent Risk has reduced from 16 (Very High) to 9 (High), the changes were made in the Likelihood and Impact both of which reduced by 1. The Residual Risk reduced from 8 (High) to 6 (Medium), the change was made in the Impact which reduced by 1. Under Controls and Mitigating Actions the following was added: 8. New Marketing Assistance started in November.
- Risk Number 15 - Brand / Reputation, the Inherent Risk reduced from 12 (High) to 2 (Low), The changes were made in the Likelihood and the Impact both of which reduced by 2.
- Risk Number 16 – Poor or Adverse Publicity, Inherent Risk has reduced from 16 (Very High) to 4 (Medium), the changes were made in the Likelihood and Impact both of which reduced by 2. The Residual Risk has reduced from 12 (High) to 2 (Low), the changes were made in the Likelihood and the Impact both of which reduced by 2.
- Risk Number 17 – GDPR Images, The Inherent Risk has reduced from 9 (High) to 4 (Medium), the changes were made in the Likelihood and Impact both of which reduced by 1.
- Risk Number 18 - Comms Ability to Respond Quickly, The Inherent Risk has reduced from 12 (High) to 6 (Medium) the changes were made in the Likelihood and Impact both of which reduced by 1. The Residual Risk has reduced from 12 (High) to 4 (Medium) The changes were made in the Likelihood which reduced by 1 and the Impact which reduced by 2.

Review Date	January 2024								
									Movement
Risk No	Date Identified	Risk Title	IF	IR2	R	RR2	Risk Appetite Category	in Period	
1	29/10/21	People Resource	6	Medium	4	Medium	5. Workforce	↓	
2	29/10/21	Funding Obligations	6	Medium	4	Medium	3. Financial	↓	
3	24/03/22	SFC ESF Clawback	4	Medium	4	Medium	3. Financial	↔	
4	29/10/21	Miss Funding Targets	16	Very High	12	High	3. Financial	↑	
5	29/10/21	Course Cancellations	16	Very High	6	Medium	2. Reputation	↔	
6	29/10/21	GDPR	12	High	6	Medium	7. Legal & Compliance (including Health & Safety risks)	↔	
7	29/10/21	Website Unavailable	3	Medium	2	Low	4. Digital	↔	
8	29/10/21	Student Experience	6	Medium	4	Medium	6. Student Experience	↔	
9	29/10/21	Health & Safety In Schools Programmes	6	Medium	4	Medium	7. Legal & Compliance (including Health & Safety risks)	↔	
10	29/10/21	Unable to access accommodation	4	Medium	2	Low	6. Student Experience	↓	
11	22/3/2022	FA transport budget is exceeded by local authority costs	4	Medium	2	Low	3. Financial	↓	
12	13/04/23	FWDF reduces or disappears for 23/24	16	Very High	16	Very High	3. Financial	↑	
13	13/04/23	Senior Phase and FA programmes are unviable	9	High	6	Medium	3. Financial	↓	
14	23/02/22	Capacity in Marketing Team	9	High	6	Medium	5. Workforce	↓	
15	23/02/22	Brand / Reputation	2	Low	2	Low	2. Reputation	↔	
16	23/02/22	Poor or Adverse Publicity	4	Medium	2	Low	2. Reputation	↓	
17	23/02/22	GDPR - Images	4	Medium	2	Low	7. Legal & Compliance (including Health & Safety risks)	↔	
18	23/02/22	Comms Ability to Respond Quickly	6	Medium	4	Medium	5. Workforce	↓	

Corporate Services

- There have been no changes to the scoring of the Corporate Services identified risks.

Review								
Month January 2024								
Risk No	Date Identified	Risk Title	IR	IR2	R	RR2	Risk Appetite Category	Movement in Period
1	26/10/2021	Key Person Dependencies	12	High	6	Medium	5. Workforce	↔
2	26/10/2021	Competence - Key Skills	16	Very High	12	High	5. Workforce	↔
3	26/10/2021	Finance	16	Very High	9	High	3. Financial	↔
4	26/10/2021	Information Management	20	Very High	12	High	7. Legal & Compliance (including Health & Safety risks)	↔
5	26/10/2021	Compliance	16	Very High	8	High	7. Legal & Compliance (including Health & Safety risks)	↔
6	26/10/2021	Safety on Campus	20	Very High	12	High	7. Legal & Compliance (including Health & Safety risks)	↔
7	26/10/2021	Major Incident	15	Very High	8	High	2. Reputation	↔
8	26/10/2021	Board Recruitment & Retention	16	Very High	6	Medium	2. Reputation	↔
9	11/07/2022	Electric Vehicles / E Bikes Fires	15	Very High	6	Medium	8. Environmental Sustainability	↔
10	03/08/2022	Race to Net Zero	12	High	6	Medium	8. Environmental Sustainability	↔
11	03/08/2022	Hazardous Waste	15	Very High	12	High	8. Environmental Sustainability	↔
12	03/08/2022	Recycling and Non Hazardous Waste	12	High	9	High	8. Environmental Sustainability	↔

Faculty of Business & Creative Industries

- There have been no changes to the scoring of any of the Business and Creative Industries identified risks.
- Risk Number 4, Staff Skills, under Controls and Mitigating Actions has had the following added: 7. Introduction of Teaching Activity Groups and Teaching Development Lead projects creates opportunities for teaching staff to develop skills.
- Risk Number 5, Succession Planning, under Controls and Mitigating Actions has had the following added: 6, Faculty PDRs completed.

Review								
Date January 2024								
Risk No	Date Identified	Risk Title	IR	IR2	RR	RR2	Risk Appetite Category	Movement in Period
1	26/10/2021	Recruitment of students	20	Very High	12	High	3. Financial	↔
2	26/10/2021	Retention of Students	9	High	9	High	6. Student Experience	↔
3	26/10/2021	Resources	16	Very High	12	High	6. Student Experience	↔
4	26/10/2021	Staff Skills	12	High	8	High	5. Workforce	↔
5	26/10/2021	Succession Planning	9	High	9	High	5. Workforce	↔
6	26/10/2021	Data Management	20	Very High	8	High	4. Digital	↔
7	26/10/2021	Partner Funding	20	Very High	16	Very High	6. Student Experience	↔
8	26/10/2021	Student & Staff Wellbeing	12	High	8	High	5. Workforce	↔

Faculty of Engineering, Construction & Science

- There are no updates to the Faculty of Engineering Construction and Science Risk Register at this time.

Review								
Date January 2024								
Risk No	Date Identified	Risk Title	IR	IR2	RR	RR2	Risk Appetite Category	Movement in Period
1	29/10/2021	Student Recruitment	16	Very High	6	Medium	3. Financial	↔
2	29/10/2021	Funding	6	Medium	4	Medium	3. Financial	↔
3	29/10/2021	Student Retention / Student	0	High	6	Medium	6. Student Experience	↔
4	29/10/2021	Workforce Planning	12	High	8	High	5. Workforce	↔
5	29/10/2021	Learning Environment	8	High	8	High	6. Student Experience	↔
6	29/10/2021	Loss of ICT	4	Medium	4	Medium	4. Digital	↔
7	29/10/2021	Performance Indicators	9	High	6	Medium	2. Reputation	↔

Faculty of Health, Care, Community & Learning Development

- There are no updates to the Faculty of Health, Care, Community & Learning Development Risk Register at this time.

Review								
Date January 2024								
Risk	Date Identified	Risk Title	IR	IR2	RR	RR2	Risk Appetite Category	Movement in Period
1	22/10/2021	Employer Engagement	20	Very High	9	High	6. Student Experience	↔
2	22/10/2021	Recruitment & Retention of Students	25	Very High	9	High	6. Student Experience	↔
3	22/10/2021	Quality of Teaching	20	Very High	12	High	5. Workforce	↔
4	22/10/2021	Income Generation	20	Very High	9	High	3. Financial	↔

Finance

- There are no updates to the Finance Risk Register at this time.

Review								
Date January 2024								
Risk No	Date Identified	Risk Title	IR	IR2	RR	RR2	Risk Appetite Category	Movement in Period
1								
2	22/10/2021	User Input Errors	20	Very High	16	Very High	3. Financial	↔
3	22/10/2021	Cash Flow Risks	16	Very High	9	High	3. Financial	↔
4	22/10/2021	Key System Unavailable	16	Very High	9	High	4. Digital	↔
5	22/10/2021	Team Resources	16	Very High	12	High	5. Workforce	↔
6	22/10/2021	Compliance	16	Very High	6	Medium	7. Legal & Compliance (including Health & Safety risks)	↔
7	22/10/2021	Financial Sustainability	16	Very High	8	High	3. Financial	↔

People and Culture

- There are no updates to the People and Culture Risk register at this time.

Review								
Date January 20								
Risk	Date Identified	Risk Title	IR	IR2	RR	RR2	Risk Appetite Category	Movement in Period
1	20/10/2021	ICT Disaster	8	High	6	Medium	4. Digital	↔
2	20/10/2021	Non-compliance	25	Very High	6	Medium	7. Legal & Compliance (including Health & Safety risks)	↔
3	20/10/2021	Workforce - Recruitment of Right People	25	Very High	6	Medium	5. Workforce	↔
4	20/10/2021	Workforce Planning	16	Very High	6	Medium	5. Workforce	↔
5	20/10/2021	Resilience & Capacity of Workforce	16	Very High	9	High	5. Workforce	↔
6	20/10/2021	Employment Relations - Local & National	16	Very High	9	High	5. Workforce	↔

ICT

- There are no changes to the ICT Risk register at this time.

Date January 2024								
Risk No	Date Identified	Risk Title	IR	IR2	RR	RR2	Risk Appetite Category	Movement in Period
1	29/09/2021	Cloud Based Systems Down for > 1 Day	8	High	4	Medium	4. Digital	↔
2	29/09/2021	On Premises Systems down for > 1/2 Day	12	High	9	High	4. Digital	↔
3	29/09/2021	Cyber-attack	25	Very High	12	High	4. Digital	↔
4	29/09/2021	Unauthorised Access to Data	16	Very High	8	High	4. Digital	↔
5	29/09/2021	Staffing	20	Very High	15	Very High	5. Workforce	↔
6	29/09/2021	Project & Change Management Capacity	20	Very High	8	High	4. Digital	↔
7	23/03/2021	Funding	20	Very High	12	High	3. Financial	↔

Student Services

- Risk Number 1, Staff resources, the residual Risk has risen from 12 (High) to 16 (Very High), the change was made in the Risk Likelihood which has gone from 3 to 4. Under Risk Description Risk: the flowing has been added **departmental restructures and changes to remits**, under Controls and Mitigating Actions the following updated (in red) has been made 5. Full discussion and agreement around staff reductions **and departmental changes** to consider impacts,
- Risk Number 2, Compliance / Complexity Risks, the Residual Risk has risen from 10 (High) to 20 (Very High), the change was made in the Risk Likelihood which has gone from 2 to 4

- Risk Number 3, Information Governance, the Residual Risk has risen from 10 (High) to 15 (Very High), the change was made in the Risk Likelihood which has gone from 2 to 3

Review Date	October 2023							
Risk NO	Date Identified	Risk Title	IR	IR2	RR	RR2	Risk Appetite Category	Movement in Period
1	20/10/2021	Staff Resources	16	Very High	16	Very High	5. Workforce	↑
2	20/10/2021	Compliance / Complexity Risks	20	Very High	20	Very High	7. Legal & Compliance (including Health & Safety risks)	↑
3	20/10/2021	Information Governance	15	Very High	15	Very High	7. Legal & Compliance (including Health & Safety risks)	↑